Unraveling biophysical interactions of radiation pneumonitis in non-small-cell lung cancer via Bayesian network analysis

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A R T I C L E   I N F O

Article history:
Received 22 July 2016
Received in revised form 5 January 2017
Accepted 5 February 2017
Available online 22 February 2017

Keywords:
Lung cancer
Radiation pneumonitis
Bayesian network analysis
Biophysical interactions

A B S T R A C T

Background: In non-small-cell lung cancer radiotherapy, radiation pneumonitis ≥ grade 2 (RP2) depends on patients’ dosimetric, clinical, biological and genomic characteristics.

Methods: We developed a Bayesian network (BN) approach to explore its potential for interpreting biophysical signaling pathways influencing RP2 from a heterogeneous dataset including single nucleotide polymorphisms, micro RNAs, cytokines, clinical data, and radiation treatment plans before and during the course of radiotherapy. Model building utilized 79 patients (21 with RP2) with complete data, and model testing used 50 additional patients with incomplete data. A developed large-scale Markov blanket approach selected relevant predictors. Resampling by k-fold cross-validation determined the optimal BN structure. Area under the receiver-operating characteristics curve (AUC) measured performance.

Results: Pre- and during-treatment BNs identified biophysical signaling pathways from the patients’ relevant variables to RP2 risk. Internal cross-validation for the pre-BN yielded an AUC = 0.82 which improved to 0.87 by incorporating during treatment changes. In the testing dataset, the pre- and during AUCs were 0.78 and 0.82, respectively.

Conclusions: Our developed BN approach successfully handled a high number of heterogeneous variables in a small dataset, demonstrating potential for unraveling relevant biophysical features that could enhance prediction of RP2, although the current observations would require further independent validation.

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Identification of biophysical or pan-Omics models combining clinical, physical, molecular cancer profiling and computational analysis should enable “personalized medicine,” where treatment strategies can be individually tailored based on combinations of diverse existing data resources [1,2]. However, large scale and diverse radiobiological datasets need to be integrated within a biophysical signaling network of response, interactive manipulation of such network should be supported, and mechanistic radiobiological insights from the analysis would be required. Dose limiting toxicities in the form of radiation pneumonitis (RP) and pulmonary fibrosis are major obstacles in the successful radiation treatment of lung neoplasms. Radiosensitivity of the lung has been shown to depend on multiple factors that include patient’s clinical, physical, imaging and molecular characteristics [3–10]. The availability of clinical data, laboratory information, and diagnostic images before and during the course of radiotherapy provides opportunities as well as challenges to build a predictive model for patient specific risks of RP. The purpose of this paper is to develop a systematic methodology based on graphical analysis by Bayesian networks to explore its potential for unraveling biophysical signaling interactions of radiation pneumonitis (RP) from a heterogeneous dataset.

Bayesian networks (BNs) are probabilistic graphical models that represent a set of biophysical variables and their conditional dependencies via a directed acyclic graph (DAG) [11]. BNs are also called belief networks, where, in a graphical representation, each node represents a random variable and the edges between the nodes represent probabilistic dependencies among the interacting random variables, analogous to using nomograms for representing linear/logistic models in traditional statistical analysis. Thus, BNs are able to explore probabilistic relationships among multiple interacting variables [12]. However, due to limited sample sizes together with large numbers of parameters that need to be optimized in a typical clinical application, an inherent limitation in BN analyses is the need to transform continuous variables into discrete ones, which may lead to loss of information. To mitigate this...
effect, Hartemink’s algorithm, which employs a mutual information approach to retain the most permissible information, can be used for discretization of the data, as it was here. In all, as BNs can effectively probe the interactions of clinical, physical, and molecular data, accommodate hierarchical relationships embedded in radiation response signaling pathways of RP development, and handle missing data, they are promising approaches to support radiation treatment decision making. Previously, a BN was employed to model response in lung cancer including RP [13]. However, that study was limited in scope to 16 selected features that included cytokines and dosimetric variables only. The current study has 200 features, also including genetic single nucleotide polymorphisms (SNPs) and micro RNA (miRNA) markers. Due to the high dimensional nature of this retrospective dataset, different developed methods for feature selection (extended Markov blanket) and BN structure learning (Tabu Search) are used to handle large-scale analysis. In addition to internal re-sampling cross-validation using the training data, the current study includes a testing phase, which also assesses the use of the BN for marginalization of missing information.

Materials and methods

Patient samples

This study involved secondary analysis of NSCLC patients that had been treated on four prospective protocols under IRB approval. The second and third treated patients to standard doses (<74 Gy at 2 Gy per fraction) and the first and fourth were dose escalation studies (total doses up to of 86 Gy over 30 fractions). Radiation dose distributions for total uninvolved lung (left plus right exclusive of gross tumor volume) were computed within the Varian Eclipse treatment planning system using the AAA dose algorithm. All total dose values were converted to their 2 Gy equivalents (EQD2) by the linear-quadratic model using locally developed software. Dosimetric features with different parameters were highly correlated with each other in the MB in early screening, and obscured the selection approach to identify important features from other categories for RP2 prediction. Thus, Mean_Lung_Dose computed from EQD2 dose distributions generated with an α/β of 4 Gy was considered to be representative of the dosimetric features [14].

Blood samples were obtained at baseline and after approximately 1/3 and 2/3 of the scheduled radiation doses were completed. Pre-treatment blood samples were analyzed for cytokine levels [15], miRNAs [16], and SNPs [17,18], which have been identified as candidates from the literature as related to lung cancer or radiation treatment decision making using the training data, the current study includes a testing phase, which also assesses the use of the BN for marginalization of missing information.

Development of BN approach to identify appropriate biophysical interactions

Step 1. Selection of relevant variables for RP modeling

For feature selection, we used a modified version of the Markov blanket (MB) approach which represents not only the inner family of inter-related variables as commonly practiced [11] but also their extended family, i.e., next-of-kin variable relationships. The MB of RP2 represents the features most related to RP2, and RP2 is independent from the rest of other features given its MB [20]. However, only including the mostly related features for RP2 prediction may result in loss of extended relationships of higher orders for prediction purposes. Therefore, to minimize this effect, we also extended the MB by one order (next-of-kin MB) for each feature in the original MB. In addition to finding good feature subsets, the MB could also help determine causal relationships among various nodes in a BN [21]. Here, the MBs of RP2 and next-of-kin were found using the HHTON algorithm, which is a fast forward selection technique for neighborhood detection designed to exclude irrelevant nodes efficiently based on marginal associations [22]. This algorithm is particularly useful when the number of features is large compared to the number of samples as in the current case.

Step 2. Identifying appropriate biophysical interactions for RP2 prediction

A BN was employed to integrate the potential variables for RP2 prediction based on the existing data, which is a complex process given the limited sample size. A Tabu Search is a metaheuristic search method employing local search methods used for mathematical optimization [23], and was used in our study to generate a stable BN structure to integrate the selected biophysical variables from bootstrap samples of the original data. Although there are many biophysical interactions related to RP2, and the relationships among potential variables on each interaction are complicated, there existed many known prior biophysical relationships related to RP2 onset that could be exploited to constrain the search space in building the BN structure. These pathways or relationships may have their specific variables from different categories. The known causal influence relationships between them were considered as “biophysical rules” in our BN structure identification, which could be obtained from the literature. An example to identify appropriate biophysical interactions for RP2 prediction is detailed in Appendix C. Therefore, for a selected set of biophysical variables related to RP2, radiobiologically plausible relationships were built among them by adding constraints to the Tabu Search and forcing them to follow the biophysical rules.

Here BN structure learning was intended to find appropriate biophysical relationships to improve RP2 prediction performance by balancing variance reduction and loss of information. While each predictor in the stable BN can contribute to biophysical information for RP2 prediction, it can also cause noise at certain levels. In the BN, the dependence between two associated biophysical factors can be described by the strength of the edge/arc between them, which is measured from score gain/loss caused by this edge/arc’s removal [24]. In general, the larger strength value represents stronger dependency, and BN structure can be adjusted from selecting different edge strength thresholds. Thus, the properties of edge strength provide an opportunity to discover the relative importance among the predictors in the BN in terms of RP2 prediction. By increasing the threshold of edge strength in a stable BN, an edge with relatively weak strength will disappear. A node without any descendant in the BN is considered as a leaf node, and it has the highest priority to be eliminated for the improvement of RP2 prediction according to the trade-off between variance reduction and loss of information. This is the basic idea of predictor pruning in our BN structure learning. Therefore, the presence of any predictor in the BN is determined by whether it is part of the extended MB, satisfies biophysical rules, and its relative strength within the network to predict RP2.

Cross validation is a technique to assess how a statistical model will generalize to an independent dataset. The Receiver Operating Characteristic (ROC) curve shows the trade-offs between true
positive and false positive rates for the diagnostic system, which is widely used in evaluating the prediction power of classification models [25]. The Area Under the ROC Curve (AUC) provides a single measure of the performance of a classifier by assigning 0.5 to a random signal and 1.0 to a perfectly discriminant signal. A binomial smoothing technique was employed here to refine ROC estimates.

In our training data, there are 21 RP2 events in 79 patients. To avoid the problem of “inverse cross validation effect” that could appear due to imbalanced event rate [26], a partitioning approach was used [27,28]. Given the sample size, a 7-fold cross-validation was chosen in this work, where each fold randomly had 3 patients with RP2. Cross validation was employed to guide the Tabu Search and predictor pruning to identify an optimal BN structure with the highest possible RP2 prediction power.

Let $N$ be the total number of the predictors for RP2 prediction, $n$ be the index of these predictors ($n = N, N - 1, \ldots, 1$), $S_n$ be the threshold of the BNs arc strength in a BN with $n$ predictors ($0.5 \leq S_n < 1$). The BN with $n$ predictors and the threshold $S_n$ of arc strength can be denoted as $BN(n, S_n)$, its associated AUC can be described by $AUC(n, S_n)$. Let $S_n^*$ be the optimal arc strength to

**Fig. 1.** The process of obtaining the best BN.

**Fig. 2.** The extended MB neighborhoods of RP2 before radiation treatment, where the upper level shows the inner family of RP2 and the lower levels show the next-of-kin for each of its member.
maximize $AUC(n, S_n)$ ($n = N, N - 1, \ldots, 1$) with different $S_n$, the process of obtaining the best BN for RP2 prediction based on R package “bnlearn” is illustrated in Fig. 1.

**Results**

The developed MB of RP2 based on our training data is formed from “Mean_Lung_Dose”, “pre_MCP_1”, “pre_TGF_alpha”, and “pre_eotaxin”. Moreover, each of these variables has its own MB neighborhood as shown in Fig. 2. For example, “V20”, “nos3_Rs1799983”, “Stage”, and “RP2” from the MB of “Mean_Lung_Dose”. As “Mean_Lung_Dose” is closely related to “RP2”, its neighborhood goes back to “RP2” again. In our study, we used extended MB neighborhoods within two layers of RP2 as potential variables of the BN. Our BN building approach considers the whole extended MB (layers#1 and #2), subsequently some predictors from layer#1 of RP2’s MB (inner family), such as pre_TGF_alpha, pre_eotaxin, may or may not necessarily be selected as a part of the final pre-treatment BN to predict RP2.

Fig. 3(a) shows a stable pre-treatment BN with edge strength $\geq 0.65$ where nine important biophysical predictors are identified. Their relationships in terms of RP2 prediction are indicated by directed edges, and the thickness of an edge represents the strength of a connection. The green and red lines represent positive and negative influences between the connected predictors, respectively. The gray lines indicate context-dependent mixed influences between the predictors in the network. It is noted that the TGF-β signaling pathway plays an important role in the resulting RP2
BN. Interestingly, a patient's bioprofile seems also to influence (could predict) the radiation dose that s/he receives based on historical cohorts.

Fig. 3(b) summarizes the capability of the pre-treatment BN to explain the risk of getting RP2 for the 79 NSCLC patients in the training dataset (AUC of 0.94 with a 95% confidence interval of 0.81–0.98). The corresponding internal testing ROC curve of the pre-treatment BN based on 7-fold cross validation is shown in Fig. 3(c), where the AUC value is 0.82 with a 95% confidence interval of 0.72–0.87. In comparison, a standard model containing only the main dosimetric predictor (Mean_Lung_Dose) had an optimistic AUC = 0.67 with a 95% confidence interval of 0.53–0.79 based on 2000 stratified bootstrap replicates. Although these two confidence intervals are overlapping, their means are significantly different according to Delong’s test (p-value = 0.046). Furthermore, a basic BN model containing only the dosimetric and inflammation related clinical parameters was also generated (Appendix D). The AUC for the ROC of that model, under internal cross validation, is 0.68 with a 95% confidence interval of 0.55–0.80 from 2000 stratified bootstrap replicates. Although these two confidence intervals are overlapping, their means are significantly different according to Delong’s test (p-value = 0.050).

In the extended model, the slopes of cytokine levels before and during-treatment (SLP) were incorporated as the patients’ responses during the course of radiotherapy. The updated extended MB neighborhoods are shown in Fig. 4. The important biophysical predictors during radiation treatment can be found from the large-scale MB algorithm. In addition, a during-treatment BN with edge strength ≥0.65 was developed via BN structure learning as illustrated in Fig. 5(a). While Fig. 5(b) summarizes the ability of the during-treatment BN to explain the 79 patients’ RP2 results, Fig. 5(c) shows the internal testing ROC curve of the during-treatment BN based on 7-fold cross validation. The prediction performance of the training dataset is very high (AUC = 0.99), and the AUC of the internal testing cross validation is 0.87 with a 95% confidence interval of 0.80–0.91. Potential mechanistic biological interpretation of our BNs is detailed in Appendix E and conditional probability tables (CPTs) for RP2 prediction are given in Appendix F.

In our study, additional patients from the same original studies randomly carried similar characteristics, but had missing information (primarily some portion of the blood sample analyses). These additional patients (50, with only three cases of RP2) were allocated into a separate cohort used to test the RP2 prediction model developed for the original patients, using the obtained BNs to marginalize the missing data; an important characteristic of BN approaches [29]. A comparison of the characteristics of the training and testing datasets for the selected variables in pre- and during-treatment BNs is given in Appendix G. It is noted that the levels of Mean_Lung_Dose in the testing cohort were significantly lower than in the training cohort while SLP_TGF_beta1 was higher: factors that may have contributed to the lower RP2 rate in the testing cohort; dose in particular playing a dominant role. The calibration plots and ROC curves for use of the pre- and during-treatment BNs from the training dataset on the testing dataset are provided in Appendix H. Despite the differences noted above in the two datasets, the AUC values for use of the pre- and during-treatment BNs on the testing dataset were 0.78 and 0.82, respectively, demonstrating some robustness in the BN modeling approach. However, given the relatively small sample size and the limited number of events in the testing cohort, it should be emphasized that further independent analysis would still be required in the future, upon availability of similar datasets, to substantiate the biophysical pathways revealed and to validate the predictive capabilities of the BNs developed here.

Discussion

The main emphasis of this work was to develop a machine learning methodology based on a Bayesian network to deal with a high number of heterogeneous variables in small datasets to predict outcomes while also revealing associated biophysical pathways. The methodology emphasizes using present knowledge as constraints and resampling based on bootstrapping to avoid overfitting during the construction of the network and cross-validation for internal prediction power validation. Thus, we explored the development of biophysical interaction networks for RP2 in NSCLC patients using pre- and during-treatment information. Upon further external validation, these BNs would appear to allow for prediction of response and personalization of treatment and may add further important insights into RP radiobiology.
Bayesian network analysis was used to probe the probabilistic relationship among these different factors and their hierarchical relationship with RP2 from genetic variants to changing cytokine levels induced by radiation dose. Our current results generally aligned with known observations made by previous studies [30–35]. However, novel relationships were also generated, which would require further studies to evaluate the importance of these interactions in vitro and in vivo.

Consistent with previous observations, our data indicate a strong correlation between dosimetric information, treatment-relevant cytokines, and the RP2 at the bottom layer of pre-treatment BN. Agrawal et al. showed that the correlation between RP and dosimetric constraints can be added to the classical total lung constraints in order to identify patients likely to develop pulmonary toxicity in response to treatment with chemoradiation [31]. Schaue et al. [32] showed that cytokines can be grouped in a very general way as: pro-inflammatory (IL-1α and β, IL-17), anti-inflammatory (IL-1ra, IL-4, IL-10, TGF-β1), pro-fibrotic (IL-6, TGF-α), chemokines (fractalkine, IL-8, MCP-1, IP-10), immune (IFN-γ, IL-2, IL-4, IL7), and hematopoietic (CSF1, GM-CSF, IL-3, EPO) [32] which is consistent with the groups established through the BN analysis. Moreover, they proposed that these cytokines should be viewed as part of large cross-talking networks that coordinate with other molecular and cellular systems to orchestrate tissue responses as demonstrated in our BN development. The impact of an initial radiation treatment on the radiation outcome can be displayed through changes in cytokine expression. Although pre-treatment cytokines still have a strong influence on RP2, the contribution of cytokines at the mid treatment timepoint is evident. The underlying radiobiology between patients’ cytokine expression and their genomic characteristics in terms of RP2 remains elusive. Our data suggest that specific miRNAs might be involved in orchestrating the relationship between genomic characteristics, cytokines and RP2 through their role in regulating the

Fig. 5. (a) During-treatment BN for RP2 prediction; (b) Patients rank-ordered by probability of RP2 as predicted from the during-treatment BN, where the solid circles represent the patients who got RP2; (c) The internal testing ROC curve of the during-treatment BN based on cross-validation.
inflammatory response. IL-2 and IL-15 share same cytokine receptors [36], which upon activation engage the jak1/3-Stat-5 signaling pathway. Such signaling seems to be critical for IL-2 and IL-15 mediated generation and persistence of natural killer cells, a major producer of IFN-γ [37]. Together, the identification of positive association of IL-2/IL-15 and negative association of smad3_Rs6494633 with IFN-γ during treatment helping us understand the cross-talk between two opposing signaling events, whose imbalance may be critical for RP2 progression.

In this paper, we have shown how the developed methodology based on BN analyses could illustrate the hierarchical biophysical influence behind observed radiation outcome, and how it may help predict RP2 under different radiation treatment plans before and during the courses of radiation treatment. It should be noted that the Markov property of BN implies that the probability of getting RP2 could be estimated from measuring the nodes that directly connect to RP2 in the BNs (e.g., Mean_Lung_Dose, pre_IL_15, miR_191_5p and SLP_TGF_beta1 in Fig. 5(a). Such a “naïve BN” is observed to still perform well with our data (AUC of 0.84). This is contrasted with the de facto predictor of RP2, mean lung dose, which achieves an AUC of only 0.67 by itself. In addition to their potential for RP prediction, the biophysical interactions could be used to explore the in-depth understanding of underlying RP radiobiology, which would be essential to development of targeted intervention. A feature of a BN is conditional probability relationships. Given certain patient characteristics (SNPs, miRNAs, and cytokines information), the network could be expected to predict the dose category (high, medium, low) that the lung is going to receive based on the historical cohorts by following the arrows entering node “Mean_Lung_Dose” in Figs. 3(a) and 5(a). Moreover, we note that mid-treatment information apparently has added value, which could be explained if the initial dose received acts as a probe that would further improve our understanding of the response that the patient is likely to have.

Our developed Bayesian network methodology is a data-driven, response-based approach that appears to provide new potentials for exploring the relationships of biophysical factors leading to radiation-induced RP2. Resulting biophysical Bayesian networks such as those developed here show promise for personalized adaptive radiation treatment planning, although, due to the small sample size and limited number of events in our testing cohort, future validation studies are necessary to confirm the current observations. Additionally, estimated biophysical influences in the current BNs (calculated from the adjacent parent and children nodes) may not reflect the accumulative effect or direct causal interaction of all variables along the network; other intermediate factors (not included in the study) might play a role. The mechanistic biological interpretation of some of the connections is dependent on selecting the most biologically plausible relationships using our extended MB approach and would require further in vitro and in vivo experimental validation. Future work involves enhancing the current Bayesian network analysis, further validation on larger external data, and developing dynamic BNs to guide personalized radiation treatment practice.

Conflict of interest statements

Dr. Luo reports grants from NIH, during the conduct of the study.
Dr. El Naqa reports grants from NIH, during the conduct of the study.
Dr. McShan reports grants from NIH, during the conduct of the study.
Dr. Ray has nothing to disclose.
Dr. Lohse has nothing to disclose.

Dr. Matuszak reports grants from NIH, during the conduct of the study; grants from Varian Medical Systems, outside the submitted work.
Dr. Owen reports grants from NIH, during the conduct of the study.
Dr. Jolly reports grants from NIH, during the conduct of the study.
Dr. Lawrence reports grants from NIH, during the conduct of the study.
Dr. Kong reports grants from NIH, during the conduct of the study; personal fees and non-financial support from Varian Medical Systems, outside the submitted work.
Dr. Ten Haken reports grants from NIH, during the conduct of the study; non-financial support from Varian Medical Systems, outside the submitted work.

Acknowledgements

This work was supported by the National Institutes of Health [grant numbers PO1 CA059827, R01 CA142840]. The authors wish to thank Paul Stanton, Nan Bi, MD, PhD, and Weili Wang MD, PhD for their work in processing the cytokine, miRNA and SNP data. This work was presented in part at ICTR-PHE 2016, 15-19 February 2016, CICC, Geneva, Switzerland.

Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.radonc.2017.02.004.

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[13] Lee S, Ybarra N, Jeyaseelan K, et al. Bayesian network ensemble as a probe that would further improve our understanding of the value, which could be explained if the initial dose received acts as a probe that would further improve our understanding of the response that the patient is likely to have.

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The biophysical analysis of radiation pneumonitis


